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Furter common local spectral properties for bounded linear operators

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Abstract:

We study common local spectral properties for bounded linear operators $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that

$$A(BA)^2 = ABACA = ACABA = (AC)^2A.$$

We prove that AC and BA share the single valued extension property, the Bishop property (β), the property (β), the decomposition property (δ) and decomposability. Closedness of analytic core and quasinilpotent part are also investigated. Some applications to Fredholm operators are given.

Keywords: Jacobson's lemma; Common properties; Local spectral theory.

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1. Introduction

For any Banach spaces X and Y, let $\mathcal{L}(X,Y)$ denote the set of all bounded linear operators from X to Y; with $\mathcal{L}(X) = \mathcal{L}(X,X)$. For $T \in \mathcal{L}(X)$, let $\mathcal{N}(T)$, $\mathcal{R}(T)$, $\sigma(T)$, $\sigma_p(T)$, $\sigma_a(T)$ and $\sigma_s(T)$ denote the null space, the range, the spectrum, the point spectrum, the approximate point spectrum and the surjective spectrum of T, respectively. An operator $T \in$ $\mathcal{L}(X)$ is said to be an upper semi-Fredholm operator if $\mathcal{R}(T)$ is closed and $\dim \mathcal{N}(T) < \infty$, and T is said to be a lower semi-Fredholm operator if $\operatorname{codim} R(T) < \infty$. T is said to be Fredholm operator if $\dim \mathcal{N}(T) < \infty$ and $\operatorname{codim} R(T) < \infty$. The upper semi-Fredholm spectrum $\sigma_{uf}(T)$, lower semi-Fredholm spectrum $\sigma_{lf}(T)$ and the essential spectrum $\sigma_e(T)$ are defined by

$$\sigma_{uf}(T) = \{\lambda \in \mathbf{C} : T - \lambda \text{ is not upper semi-Fredholm}\};$$

$$\sigma_{lf}(T) = \{\lambda \in \mathbf{C} : T - \lambda \text{ is not lower semi-Fredholm}\};$$

$$\sigma_{e}(T) = \{\lambda \in \mathbf{C} : T - \lambda \text{ is not Fredholm}\}.$$

For an arbitrary $T \in \mathcal{L}(X)$, the local resolvent set $\rho_T(x)$ of T at a vector x in X is defined to consist of all $\lambda \in \mathbb{C}$ for which there exists an analytic X-valued function f on an open neighborhood U of λ such that

$$(T-\mu)f(\mu) = x$$
, for all $\mu \in U$.

The local spectrum $\sigma_T(x)$ is defined by $\sigma_T(x) = \mathbf{C} \setminus \rho_T(x)$. The local spectrum $\sigma_T(x)$ is a subset of $\sigma(T)$ and it may happen to be empty. Moreover, we have (see [12])

$$\sigma_s(T) = \bigcup_{x \in X} \sigma_T(x).$$

For $T \in \mathcal{L}(X)$ and $F \subseteq \mathbf{C}$, let $X_T(F)$ denote the local spectral subspace defined by

$$X_T(F) = \{x \in X : \sigma_T(x) \subseteq F\}.$$

Clearly, $X_T(F)$ is a linear (not necessarily closed) subspace of X. The operator T is said to possess the *Dunford's property* (C) if $X_T(F)$ is closed for every closed subset F of \mathbb{C} .

The operator $T \in \mathcal{L}(X)$ is said to have the *single valued extension* property (SVEP, for short) at $\lambda \in \mathbf{C}$ provided that there exists an open disc V centered at λ such that for every open subset $U \subset V$, the constant function $f \equiv 0$ is the only analytic solution of the equation

$$(T - \mu)f(\mu) = 0 \quad \forall \mu \in U.$$

We use S(T) to denote the set where T fails to have the SVEP and we say that T has the SVEP if S(T) is the empty set, [11, 12]. In the case where T has the SVEP, $\sigma_T(x) = \emptyset$ if and only if x = 0. Moreover ([13, Lemma 3]),

$$\sigma(T) = \sigma_s(T) \cup \mathcal{S}(T).$$

For an open set U of \mathbb{C} , let $\mathcal{O}(U,X)$ be the Fréchet space of all X-valued analytic function on U endowed with the topology defined by uniform convergence on every compact subset of U. An operator $T \in \mathcal{L}(X)$ is said to satisfy the Bishop's property (β) on an open set $U \subseteq C$ provided that for every open subset V of U and for any sequence $(f_n)_n$ of analytic X-valued functions on V,

$$(T-\mu)f_n(\mu) \longrightarrow 0 \text{ in } \mathcal{O}(V,X) \Longrightarrow f_n(\mu) \longrightarrow 0 \text{ in } \mathcal{O}(V,X).$$

Let $\rho_{\beta}(T)$ be the largest open set on which T has the property (β) . Its complement $\sigma_{\beta}(T) = \mathbf{C} \setminus \rho_{\beta}(T)$ is a closed, possibly empty, subset of $\sigma(T)$. Then T is said to satisfy the Bishop's property (β) , precisely when $\sigma_{\beta}(T) = \emptyset$, [4, 17].

It is well known that the following implications hold

Bishop's property $(\beta) \Rightarrow$ Dunford's property $(\mathcal{C}) \Rightarrow$ SVEP.

In order to introduce the dual notion of Bishop's property (β) , we need a slight variant of the local spectral subspace. For a closed subset F in \mathbb{C} , the glocal spectral analytic space $\mathcal{X}_T(F)$ is the linear subspace of vectors $x \in X$ for which there exists an analytic function $f : \mathbb{C} \setminus F \to X$ such that

$$(T - \mu)f(\mu) = x$$
, for all $\mu \in \mathbf{C} \setminus F$.

We point out that the analytic function f is defined globally on the entire complement of F. Evidently, $\mathcal{X}_T(F)$ is linear subspace contained in $X_T(F)$. Moreover, the equality $\mathcal{X}_T(F) = X_T(F)$ holds for all closed sets $F \subseteq \mathbf{C}$ precisely when T has the SVEP [12, Proposition 3.3.2].

An operator $T \in \mathcal{L}(X)$ is said to have the decomposition property (δ) on U provided that for all open sets $V, W \subseteq \mathbf{C}$ for which $\mathbf{C} \setminus U \subseteq V \subseteq \overline{V} \subseteq W$, we have

(1.1)
$$\mathcal{X}_T(\mathbf{C} \setminus V) + \mathcal{X}_T(\overline{W}) = X.$$

Let $\rho_{\delta}(T)$ be the largest open set on which the operator T has the property (δ) . Its complement $\sigma_{\delta}(T) = \mathbf{C} \setminus \rho_{\delta}(T)$ is a closed, possibly

empty, subset of $\sigma(T)$ ([17, Corollary 17]). Then T has the decomposition property (δ) if $\sigma_{\delta}(T) = \emptyset$.

Properties (β) and (δ) are known to be dual to each other in the sense that T has (δ) on U if and only if T^* satisfies (β) on U [4, 17]. Moreover

$$\sigma_{\beta}(T) = \sigma_{\delta}(T^*)$$
 and $\sigma_{\delta}(T) = \sigma_{\beta}(T^*)$.

The operator $T \in \mathcal{L}(X)$ is said to be decomposable on U provided that for every finite open cover $\{U_1, \ldots, U_n\}$ of \mathbb{C} , with $\sigma(T) \setminus U \subseteq U_1$, there exists X_1, \ldots, X_n closed T-invariant subspaces of X for which

(1.2)
$$\sigma(T|X_i) \subseteq U_i \text{ for } i = 1, \dots, n \text{ and } X_1 + \dots + X_n = X.$$

Let $\rho_{dec}(T)$ be the largest open set $U \subseteq \mathbf{C}$ on which T is decomposable. Its complement $\sigma_{dec}(T) = \mathbf{C} \setminus \rho_{dec}(T)$ is a closed, possibly empty, subset of $\sigma(T)$. We say that T is decomposable if $\sigma_{dec}(T) = \emptyset$. The class of decomposable operators contains all normal operators and more generally all spectral operators. Operators with totally disconnected spectrum are decomposable by the Riesz functional calculus. In particular, compact and algebraic operators are decomposable.

It is also known that (β) characterizes operators with decomposable extensions [4]. Property (β) is hence conserved by restrictions while (δ) is transferred to quotient operators. See also [12] for more details. We have

$$\sigma_{dec}(T) = \sigma_{\beta}(T) \cup \sigma_{\delta}(T) = \sigma_{\beta}(T) \cup \sigma_{\beta}(T^*) = \sigma_{dec}(T^*).$$

Let $\mathcal{E}(U,X)$ be the Fréchet space of all X-valued C^{∞} -functions on U. The operator T is said to satisfy the property (β_{ϵ}) at $\lambda \in \mathbb{C}$ provided that there exists open disc U centered at λ such that for every open subset $V \subset U$ and for any sequence $(f_n)_n$ of infinitely differentiable X-valued functions on V, we have

$$(T-\mu)f_n(\mu) \longrightarrow 0 \text{ in } \mathcal{E}(V,X) \Longrightarrow f_n(\mu) \longrightarrow 0 \text{ in } \mathcal{E}(V,X).$$

Let $\sigma_{\beta_{\epsilon}}(T)$ be the set of all points where T fails to satisfy the property (β_{ϵ}) . Then T is said to satisfy the property (β_{ϵ}) , precisely when $\sigma_{\beta_{\epsilon}}(T) = \emptyset$. The property (β_{ϵ}) plays the same role for generalized scalar operators as Bishop's property (β) does for decomposable operators: an operator T satisfies (β_{ϵ}) if and only if T is subscalar, in the sense that it has a generalized scalar extension. An operator T is said to be generalized scalar if there exists a continuous homomorphism algebra $\Phi: \mathcal{E}(\mathbf{C}) \to \mathcal{L}(X)$ with $\Phi(1) = I$ and $\Phi(z) = T$ (see [10]).

Jacobson's Lemma asserts that if $A \in \mathcal{L}(X,Y)$ and $B \in \mathcal{L}(Y,X)$ then

(1.3) AB - I is invertible if and only if BA - I is invertible.

For $A \in \mathcal{L}(X,Y)$ and $B \in \mathcal{L}(Y,X)$, numerous mathematicians showed that AB-I (resp. AB) and BA-I (resp. BA) share many spectral properties, see [2, 3, 5, 6, 8, 9, 14, 19, 21, 22, 23] and the references therein. For the local spectral properties, Benhida and Zerouali [6] proved that AB and BA share the SVEP, Bishop property (β) , the property (β_{ϵ}) , the decomposition property (δ) and decomposability. The common local spectral properties was studied by Aiena and Gonzalez in [2, 3] and Duggal [9] for operators A and B such that $ABA = A^2$ and $BAB = B^2$. Then Zeng and Zhong [23] extented common local spectral properties for AC and BA under the condition ABA = ACA. For operators A, B, C and D satisfying ACD = DBD and BDA = ACA, Yan and Fang [21] investigated local spectral properties for AC and BD. Recently, [7] studied the common properties for AC and AC

In this note, we extend results of [2, 3, 6, 9, 23] by studying common local spectral properties for bounded linear operators $A \in \mathcal{L}(X,Y)$ and $B, C \in \mathcal{L}(Y,X)$ such that

$$A(BA)^2 = ABACA = ACABA = (AC)^2A.$$

We prove that AC and BA share the single valued extension property, the Bishop property (β) , the property (β_{ϵ}) , the decomposition property (δ) and decomposability. Closedness of analytic core and quasinilpotent part are also investigated. Some applications to Fredholm operators are given.

2. common local spectral properties

Proposition 2.1. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$ and let $\lambda \in \mathbb{C}$. Then AC has the SVEP at λ if and only if BA has the SVEP at λ .

In particular, AC has the SVEP if and only if BA has the SVEP.

Proof. Assume that AC has the SVEP at λ and let f be an X-valued analytic function in a neighborhood U of λ such that

$$(2.1) (BA - \mu)f(\mu) = 0, \forall \mu \in U.$$

By taking ABA values in equality (2.1) and using equality $A(BA)^2 = ACABA$, we obtain $(AC - \mu)ABAf(\mu) = 0$, $\forall \mu \in U$. Since $ABAf(\mu)$ is analytic on U and AC has the SVEP at λ , then $ABAf(\mu) = 0$, $\forall \mu \in U$. By taking A values in equality (2.1), we get $\mu Af(\mu) = 0$, $\forall \mu \in U$ and then $Af(\mu) = 0$, $\forall \mu \in U$. Hence $\mu f(\mu) = 0$, $\forall \mu \in U$. Thus $f(\mu) = 0$, $\forall \mu \in U$. Therefore BA has the SVEP at λ .

Conversely, let BA have the SVEP at λ . Then it follows from [6, Proposition 2.1] that AB has the SVEP at λ . Now with the same argument as in the direct sense, we get that CA has the SVEP at λ . Again by [6, Proposition 2.1], AC has the SVEP at λ .

If $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ are such that ABA = ACA, then the result of [8, Theorem 9] is an immediate consequence of Proposition 2.1.

Theorem 2.2. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

$$\sigma_{\beta}(AC) = \sigma_{\beta}(BA).$$

In particular, AC satisfies property (β) if and only if BA satisfies property (β) .

Proof. Assume that AC satisfies the Bishop's property (β) on some open set U in \mathbb{C} . Let V be an open subset of U and let $(f_n)_n$ be a sequence of X-valued analytic functions on V such that

$$(2.2) (BA - \mu) f_n(\mu) \longrightarrow 0 \text{ in } \mathcal{O}(V, X).$$

Then

$$ACA(BA - \mu)f_n(\mu) = (AC - \mu)ACAf_n(\mu) \longrightarrow 0 \text{ in } \mathcal{O}(V, X).$$

Since AC satisfies the Bishop's property (β) , then

$$ACA f_n(\mu) \longrightarrow 0 \text{ in } \mathcal{O}(V, X).$$

Hence

$$ABACAf_n(\mu) = A(BA)^2 f_n(\mu) \longrightarrow 0 \text{ in } \mathcal{O}(V, X).$$

Thus it follows from (2.2) that

$$\mu ABAf_n(\mu) \longrightarrow 0 \text{ in } \mathcal{O}(V,X).$$

So by [6, Lemma 2.1], $ABAf_n(\mu)$ converges to zero in $\mathcal{O}(V, X)$. Then by taking A values in equality (2.2), $\mu Af_n(\mu)$ converges to zero in $\mathcal{O}(V, X)$. Hence $Af_n(\mu)$ converges to zero in $\mathcal{O}(V, X)$ by [6, Lemma 2.1]. Again by (2.2), $\mu f_n(\mu)$ and then $f_n(\mu)$ converges to zero in $\mathcal{O}(V, X)$. Which prove that BA satisfies the Bishop's property (β) on U.

Conversely, Assume that BA satisfies the Bishop's property (β) on U. Then it follows from the proof of [6, Proposition 2.1] that AB satisfies the Bishop's property (β) on U. Hence by the same way we get that CA satisfies the Bishop's property (β) on U. Thus by [6, Proposition 2.1], AC satisfies the Bishop's property (β) on U.

Since (β) and (δ) are dual to each other, then we get from Theorem 2.2

Theorem 2.3. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

$$\sigma_{\delta}(AC) = \sigma_{\delta}(BA).$$

In particular, AC satisfies property (δ) if and only if BA satisfies property (δ) .

Since decomposability is equivalent to both (β) and (δ) , then it follows immediately from Theorem 2.2 and Theorem (2.3):

Corollary 2.4. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

$$\sigma_{dec}(AC) = \sigma_{dec}(BA).$$

In particular, AC is decomposable if and only if BA is decomposable.

In order to show that AC and BA share the property (β_{ϵ}) , we need the following lemma

Lemma 2.5. [6] Let U be an open set and $(f_n)_n$ be a sequence in $\mathcal{E}(U,X)$ such that $(\mu f_n(\mu))_n$ converge to zero in $\mathcal{E}(U,X)$. Then $(f_n)_n$ converges to zero in $\mathcal{E}(U,X)$.

Theorem 2.6. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

$$\sigma_{\beta_{\epsilon}}(AC) = \sigma_{\beta_{\epsilon}}(BA).$$

In particular, AC satisfies property (β_{ϵ}) if and only if BA satisfies property (β_{ϵ}) .

Proof. Now using Lemma 2.5 and the same argument as in the proof of Theorem 2.2 we get the result.

Corollary 2.7. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then AC is subscalar if and only if BA is subscalar.

3. local spectrum and related subspaces

Theorem 3.1. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

- i) $\sigma_{AC}(ABAx) \subseteq \sigma_{BA}(x) \subseteq \sigma_{AC}(ABAx) \cup \{0\}.$
- ii) $\sigma_{AB}(ACAx) \subseteq \sigma_{CA}(x) \subseteq \sigma_{AB}(ACAx) \cup \{0\}.$
- iii) $\sigma_{BA}(BACACx) \subseteq \sigma_{AC}(x) \subseteq \sigma_{BA}(BACACx) \cup \{0\}.$

Proof. i) Let $\lambda \notin \sigma_{BA}(x)$. Then there exists an open neighborhood U of λ and an X-valued analytic function f on U such that

$$(BA - \mu)f(\mu) = x$$
 for all $\mu \in U$.

Hence for any $\mu \in U$, $ABAx = ABA(BA-\mu)f(\mu) = (ABABA-\mu ABA)f(\mu) = (AC-\mu)ABAf(\mu)$. Since $ABAf(\mu)$ is analytic on U, then $\lambda \notin \sigma_{AC}(ABAx)$. Thus $\sigma_{AC}(ABAx) \subseteq \sigma_{BA}(x)$.

Now let $\lambda \notin \sigma_{AC}(ABAx) \cup \{0\}$. By virtue of [6, Proposition 3.1], $\lambda \notin \sigma_{CA}(BAx) \cup \{0\}$. Then there exists an open neighborhood U of λ with $0 \notin U$ and an X-valued analytic function f on U such that

$$(CA - \mu)f(\mu) = BAx$$
 for all $\mu \in U$.

Hence $ABABAx = ABA(CA - \mu)f(\mu) = (AB - \mu)ABAf(\mu)$ for all $\mu \in U$. Thus $\lambda \notin \sigma_{AB}(ABABAx) \cup \{0\}$. Therefore

$$\lambda \notin \sigma_{AB}(ABABAx) \cup \{0\} \implies \lambda \notin \sigma_{BA}(BABAx) \cup \{0\}$$

$$\implies \lambda \notin \sigma_{AB}(ABAx) \cup \{0\}$$

$$\implies \lambda \notin \sigma_{BA}(BAx) \cup \{0\}$$

$$\implies \lambda \notin \sigma_{AB}(Ax) \cup \{0\}$$

$$\implies \lambda \notin \sigma_{BA}(x) \cup \{0\}$$

$$\implies \lambda \notin \sigma_{BA}(x) \cup \{0\}.$$

In the last implications we use repetitively ([6, Proposition 3.1]).

- ii) goes similarly.
- iii) follows from i) and ii).

Corollary 3.2. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. The following assertions hold

- i) If AC is injective, $\sigma_{AC}(ABAx) = \sigma_{BA}(x)$ for all $x \in X$.
- ii) If AB is injective, $\sigma_{AB}(ACAx) = \sigma_{CA}(x)$ for all $x \in X$.

Proof. If AC (resp. AB) is injective then $0 \notin \sigma(AC)$ (resp. $0 \notin \sigma(AC)$). Hence the result follows at once from Theorem 3.1.

In particular, if A, B and C are injective, then

$$\sigma_{AC}(ABAx) = \sigma_{BA}(x)$$
 and $\sigma_{AB}(ACAx) = \sigma_{CA}(x)$, for all $x \in X$.

Before that we study the Dunford property (C) for AC and BA, we start by the following lemmas.

Lemma 3.3. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Let F be a closed subset of \mathbb{C} such that $0 \in F$. Then the following are equivalent:

- i) $Y_{AC}(F)$ is closed.
- ii) $X_{BA}(F)$ is closed.

Proof. Assume that $Y_{AC}(F)$ is closed and let $(x_n)_n$ be a sequence in $X_{BA}(F)$ which converges to some x in X. Then $\sigma_{BA}(x_n) \subset F$. By Theorem 3.1, part i), we have $\sigma_{AC}(ABAx_n) \subset F$ and then $ABAx \in Y_{AC}(F)$. Since $ABAx_n$ converges to ABAx and $Y_{AC}(F)$ is closed by assumption, then $ABAx \in Y_{AC}(F)$. Hence $\sigma_{AC}(ABAx) \subset F$. Since $0 \in F$, then it follows from Theorem 3.1, part i), that $\sigma_{BA}(x) \subset F$. Therefore x is in $X_{BA}(F)$ and $X_{BA}(F)$ is closed.

For the converse implication, if $X_{BA}(F)$ is closed then it follows from [23, Lemma 2.4] that $Y_{AB}(F)$ is closed. By the same argument above we prove that $X_{CA}(F)$ is closed. Hence by [23, Lemma 2.4], $Y_{AC}(F)$ is closed

Lemma 3.4. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$ and AC has the SVEP. Let F be a closed subset of \mathbb{C} such that $0 \notin F$.

- i) If $Y_{AC}(F \cup \{0\})$ is closed then $X_{BA}(F)$ is closed.
- ii) If $X_{BA}(F \cup \{0\})$ is closed then $Y_{AC}(F)$ is closed.

Proof. i) Assume that $Y_{AC}(F \cup \{0\})$ is closed. Since $0 \in F \cup \{0\}$ then it follows from Lemma 3.3 that $X_{BA}(F \cup \{0\})$ is closed. Since BA has the SVEP by Theorem 2.2, then it follows from [23, Lemma 2.5] that $X_{BA}(F)$ is closed. ii) It follows similarly.

Theorem 3.5. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then AC has the Dunford's property (C) if and only if BA has the Dunford's property (C).

Proof. It follows at once from Lemma 3.3 and Lemma 3.4.

The analytical core of T is the set K(T) of all $x \in X$ such that there exist a constant c > 0 and a sequence $(x_n)_n \subset X$ such that

$$x_0 = x, Tx_n = x_{n-1} \text{ and } ||x_n|| \le c^n ||x|| \text{ for all } n \in \mathbf{N}.$$

Recall that (see for instance, [1, Theorem 2.18] or [12, Proposition 3.3.7])

$$K(T - \lambda) = X_T(\mathbf{C} \setminus {\lambda}) = {x \in X : \lambda \notin \sigma_T(x)}.$$

The analytic core was studied by Mbekhta [15, 16]. In general, K(T) is not need to be closed. By virtue of [18, Corollary 6], for any non-invertible decomposable operator T, the point 0 is isolated in $\sigma(T)$ exactly when K(T) is closed. In particular, if T is a compact operator, or more generally a Riesz operators, then K(T) is closed precisely when T has finite spectrum, [18, Corollary 9].

Theorem 3.6. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that

 $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then for all nonzero complex λ , $K(AC - \lambda)$ is closed if and only if $K(BA - \lambda)$ is closed.

Proof. Assume that $K(AC - \lambda)$ is closed and let $(x_n)_n$ be a sequence in $K(BA - \lambda)$ such that $(x_n)_n$ converges to $x \in X$. Since $(x_n) \subset K(BA - \lambda)$ then for all nonnegative integer n, $\sigma_{BA}(x_n) \subset \mathbf{C} \setminus \{\lambda\}$. Hence it follows from Theorem 3.1 that $\sigma_{AC}(ABAx_n) \subset \mathbf{C} \setminus \{\lambda\}$. Thus the sequence $(ABAx_n)_n$ belongs to $K(AC - \lambda)$. Since $ABAx_n \longrightarrow ABAx$ and $K(AC - \lambda)$ is closed, then $ABAx \in K(AC - \lambda)$ and so $\sigma_{AC}(ABAx) \subset \mathbf{C} \setminus \{\lambda\}$. We deduce from Theorem 3.1 that $\sigma_{BA}(x) \subset \mathbf{C} \setminus \{\lambda\}$, i.e, $x \in K(BA - \lambda)$. Therefore $K(BA - \lambda)$ is closed. Since $K(BA - \lambda)$ is closed if and only if $K(AB - \lambda)$ is closed ([23, Corollary 3.3]), then with the same argument we can prove the reverse implication.

Associated with $T \in \mathcal{L}(X)$ there is another linear subspace of X, the quasinilpotent part $H_0(T)$ of T defined as

$$H_0(T) = \{ x \in X : \lim_{n \to \infty} ||T^n x||^{\frac{1}{n}} = 0 \}.$$

In general, $H_0(T)$ is not need to be closed. By [12, Proposition 3.3.13],

$$H_0(T) = \mathcal{X}_T(\{0\}).$$

Theorem 3.7. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then $H_0(AC)$ is closed if and only if $H_0(BA)$ is closed.

Proof. Assume that $H_0(AC)$ is closed and let (x_n) be a sequence in $H_0(BA)$ which converges to $x \in X$. It is easy to see that $ABAx_n$ belongs to $H_0(AC)$. Since $H_0(AC)$ is closed and $ABAx_n$ converges to ABAx, then $ABAx \in H_0(AC)$. From the equality

$$\|(AC)^p ABAx\|^{\frac{1}{p}} = \|(AB)^{p+1} Ax\|^{\frac{1}{p}} = (\|(AB)^{p+1} Ax\|^{\frac{1}{p+1}})^{\frac{p}{p+1}}$$

we have $Ax \in H_0(AB)$. Since

$$\begin{split} \|(BA)^{p+1}x\|^{\frac{1}{p+1}} &= \|B(AB)^pAx\|^{\frac{1}{p+1}} \\ &\leq \|B\|^{\frac{1}{p+1}}(\|(AB)^pAx\|^{\frac{1}{p}})^{\frac{p}{p+1}} \\ &\leq M^{\frac{1}{p+1}}(\|(AB)^pAx\|^{\frac{1}{p}})^{\frac{p}{p+1}} \quad \text{where } M = \max(\|B\|, 1); \end{split}$$

then $x \in H_0(BA)$ and so $H_0(BA)$ is closed.

The reverse implication goes similarly.

4. Applications and concluding remarks

A weaker version of property (δ) can be given as follows (see [24] for a localized version): an operator T is said to have the weak spectral property (δ_w) provided that for every finite open cover $\{U_1, \ldots, U_n\}$ of \mathbf{C} , we have

(4.1)
$$\mathcal{X}_T(\overline{U_1}) + \cdots + \mathcal{X}_T(\overline{U_n})$$
 is dense in X .

Proposition 4.1. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Assume that ABA and ACA have dense ranges.

- i) If BA has the property (δ_w) then AC has the property (δ_w) .
- ii) If CA has the property (δ_w) then AB has the property (δ_w) .

Proof. We only prove i). Assume that BA has the weak property (δ_w) . Let $\{U_1, \ldots, U_n\}$ be a finite open cover of \mathbb{C} . Then

$$\mathcal{X}_{BA}(\overline{U_1}) + \cdots + \mathcal{X}_{BA}(\overline{U_n})$$
 is dense in X .

It is easy to see that $ABA(\mathcal{X}_{BA}(\overline{U_i})) \subseteq \mathcal{Y}_{AC}(\overline{U_i})$ for each i, i = 1, ..., n. Since ABA(X) is dense in Y, then it follows that

$$\mathcal{Y}_{AC}(\overline{U_1}) + \cdots + \mathcal{Y}_{AC}(\overline{U_n})$$
 is dense in Y.

Thus AC has the property (δ_w) .

We do not know if we can drop the condition that ABA and ACA have dense ranges in the last proposition.

Proposition 4.2. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

AC - I is surjective if and only if BA - I is surjective.

In other word,

$$\sigma_s(AC) \cup \{0\} = \sigma_s(BA) \cup \{0\}.$$

Proof. Since for every bounded linear operator T the surjective spectrum satisfies $\sigma_s(T) = \bigcup_{x \in X} \sigma_T(x)$, then it follows from Theorem 3.1, part i), that $\sigma_s(AC) \subseteq \sigma_s(BA) \cup \{0\}$. Also by Theorem 3.1, part iii), we get $\sigma_s(BA) \subseteq \sigma_s(AC) \cup \{0\}$. Thus,

$$\sigma_s(AC) \cup \{0\} = \sigma_s(BA) \cup \{0\}.$$

It is well known that for $T \in \mathcal{L}(X)$ we have $\sigma_a(T) = \sigma_s(T^*)$ and $\sigma_s(T) = \sigma_a(T^*)$ (see for instance, [12, Proposition 1.3.1]). Then it follows from Proposition 4.2:

Proposition 4.3. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

AC - I is bounded below if and only if BA - I is bounded below.

In other word,

$$\sigma_a(AC) \setminus \{0\} = \sigma_a(BA) \setminus \{0\}.$$

Remark 4.4. A direct proof of Proposition 4.3 can be given as follows: Assume that AC - I is bounded below. Then there exists c > 0 such that

$$||x|| \le c||(AC - I)x||, \ \forall x \in X.$$

Then for all $x \in X$,

$$\begin{aligned} \|ABAx\| &\leq c\|(AC-I)ABAx\| \\ &= c\|(ABABA-ABA)x\| \\ &\leq c\|ABA\|\|(BA-I)x\|. \end{aligned}$$

Hence

$$||Ax|| \le ||ABAx|| + ||ABAx - Ax|| \le c||ABA|| ||(BA - I)x|| + ||A|| ||(BA - I)x|| \le c'||(BA - I)x|| (c' = c||ABA|| + ||A||).$$

Thus

$$||x|| \leq ||BAx|| + ||(BA - I)x||$$

$$\leq ||B|||Ax|| + ||(BA - I)x||$$

$$\leq ||B||c'||(BA - I)x|| + ||(BA - I)x||$$

$$\leq c''||(BA - I)x|| (c'' = ||B||c' + 1).$$

Therefore, BA - I is bounded below. The other sense goes similarly.

From Proposition 4.2 and Proposition 4.3 we retrieve the result of [7, Lemme 3.1] for bounded linear operators:

Corollary 4.5. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

AC - I is invertible if and only if BA - I is invertible.

In other word,

$$\sigma(AC) \setminus \{0\} = \sigma(BA) \setminus \{0\}.$$

Proposition 4.6. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

AC - I is injective if and only if BA - I is injective.

In other word,

$$\sigma_p(AC) \setminus \{0\} = \sigma_p(BA) \setminus \{0\}.$$

Proof. Assume that AC - I is injective. Let $x \in X$ such that (BA - I)x = 0. Then BAx = x. Hence ABABAx = ACABAx = ABAx. It follows that (AC - I)ABAx = 0. Since AC - I is injective we deduce that ABAx = 0. Then Ax = 0. Thus x = 0. The other implication goes similarly.

Let $l^{\infty}(X)$ be the set of all bounded sequences of elements of X. Endowed with the norm $\|(x_n)\| = \sup_n \|x_n\|$, $l^{\infty}(X)$ is a Banach space. For $\tilde{x} = (x_n) \in l^{\infty}(X)$ let $q(\tilde{x})$ be the infimum of all $\varepsilon > 0$ such that the set $\{x_n : n \in \mathbb{N}\}$ is contained in the union of a finite number of open balls with radius ε . Let

$$m(X) = \{ \tilde{x} \in l^{\infty}(X) : q(\tilde{x}) = 0 \}.$$

For $T \in \mathcal{L}(X)$ let T^{∞} be the bounded linear defined on $l^{\infty}(X)$ by $T^{\infty}((x_n)) = (Tx_n)$. Set $\tilde{X} = l^{\infty}(X)/m(X)$ and let $\tilde{T}: \tilde{X} \to \tilde{X}$ be the operator defined by $\tilde{T}(\tilde{x}+m(X)) = T^{\infty}\tilde{x}+m(X)$. Then by [20, Theorem 17.6 and Theorem 17.9] we have

T is upper semi-Fredholm $\iff \tilde{T}$ is injective $\iff \tilde{T}$ is bounded bellow and

T is lower semi-Fredholm $\iff \tilde{T}$ is surjective .

Now let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

$$\tilde{A}(\tilde{B}\tilde{A})^2 = \tilde{A}\tilde{B}\tilde{A}\tilde{C}\tilde{A} = \tilde{A}\tilde{C}\tilde{A}\tilde{B}\tilde{A} = (\tilde{A}\tilde{C})^2\tilde{A}.$$

As an immediate consequence of Proposition 4.2 and Proposition 4.3 we get the following result.

Proposition 4.7. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

i) AC-I is upper semi-Fredholm if and only if BA-I is upper semi-Fredholm; ii) AC-I is lower semi-Fredholm if and only if BA-I is lower semi-Fredholm. In other word,

$$\sigma_{uf}(AC) \setminus \{0\} = \sigma_{uf}(BA) \setminus \{0\};$$

$$\sigma_{lf}(AC) \setminus \{0\} = \sigma_{lf}(BA) \setminus \{0\}.$$

Corollary 4.8. Let $A \in \mathcal{L}(X,Y)$ and $B,C \in \mathcal{L}(Y,X)$ such that $A(BA)^2 = ABACA = ACABA = (AC)^2A$. Then

AC - I is Fredholm if and only if BA - I is Fredholm.

In other word,

$$\sigma_e(AC) \setminus \{0\} = \sigma_e(BA) \setminus \{0\}.$$

Remark 4.9. If A and $B \in \mathcal{X}$ such that $ABA = A^2$ and $BAB = B^2$, then

$$(4.2) A(BA)^2 = ABAIA = AIABA = (AI)^2A$$

and

$$(4.3) B(AB)^2 = BABIB = BIBAB = (BI)^2B.$$

Then it follows from (4.2) and (4.3) that A, B, BA and AB share the spectral properties above. So we retrieve the results of [9].

In the following examples, the common spectral properties for AC and BA can only followed directly from the results above, but not from the corresponding ones in [23].

Example 4.10. Let P be a non trivial idempotent on X. Let A, B and C defined on $X \oplus X \oplus X$ by

$$A = \begin{pmatrix} 0 & I & 0 \\ 0 & P & 0 \\ 0 & 0 & 0 \end{pmatrix} \text{ et } B = \begin{pmatrix} I & 0 & 0 \\ 0 & I & 0 \\ 0 & 0 & 0 \end{pmatrix} \text{ and } C = \begin{pmatrix} 0 & 0 & 0 \\ I & 0 & 0 \\ 0 & I & 0 \end{pmatrix}.$$

Then $A(BA)^2 = ABACA = ACABA = (AC)^2A$ and $ABA \neq ACA$.

Example 4.11. Let A and B be as in Example 4.10 and let C be defined on $X \oplus X \oplus X$ by

$$C = \left(\begin{array}{ccc} 0 & 0 & 0 \\ P & 0 & 0 \\ 0 & I & 0 \end{array} \right).$$

Then $A(BA)^2 = ABACA = ACABA = (AC)^2A$ and $ABA \neq ACA$.

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